

# Skiljedomskommittén 29 november 2023





#### Mötets öppnande

#### Rapport från ICC internationellt

Ordf. Therese Isaksson, Partner, Westerberg & Partners Vice ordf. Patricia Shaughnessy, Docent, Stockholms universitet Henrik Blomqvist, Policyansvarig, ICC Sverige

#### Vägledning kring sanktioner från UIA

Lars Perhard, CERTA Lars Perhard Advokatbyrå AB

#### Förebyggande och effektiv konflikthantering

Mike Mcilwrath, skiljedomare och ordförande, ICC Governing Body for Dispute Resolution Services

# Förebyggande och effektiv konflikthantering: företagsperspektiv

Lena Hellman, Senior Group Legal Counsel, Ericsson David Gräslund, Legal Counsel, Skanska Sverige AB

#### Diskussion kring förebyggande och effektiv konflikthantering

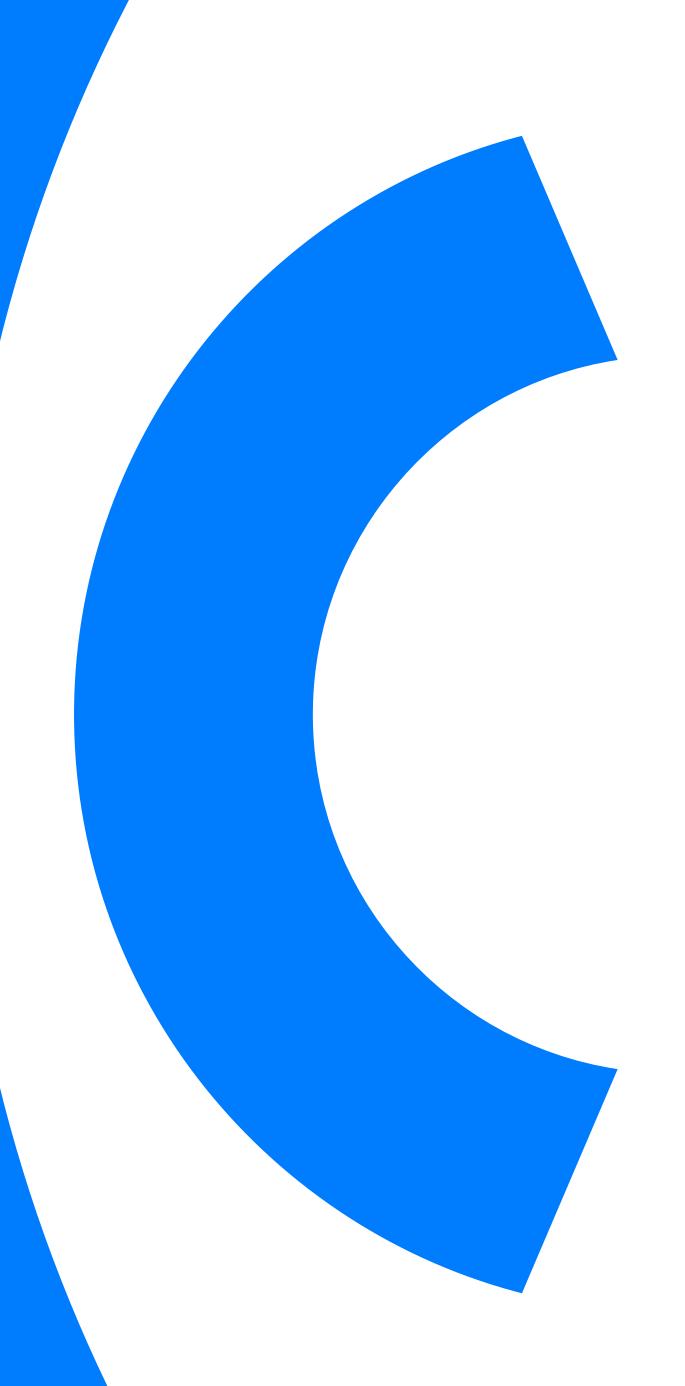
Nästa möte och avslut



# Rapport från ICC internationellt

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# Höjdpunkter från höstens möte i den globala kommissionen

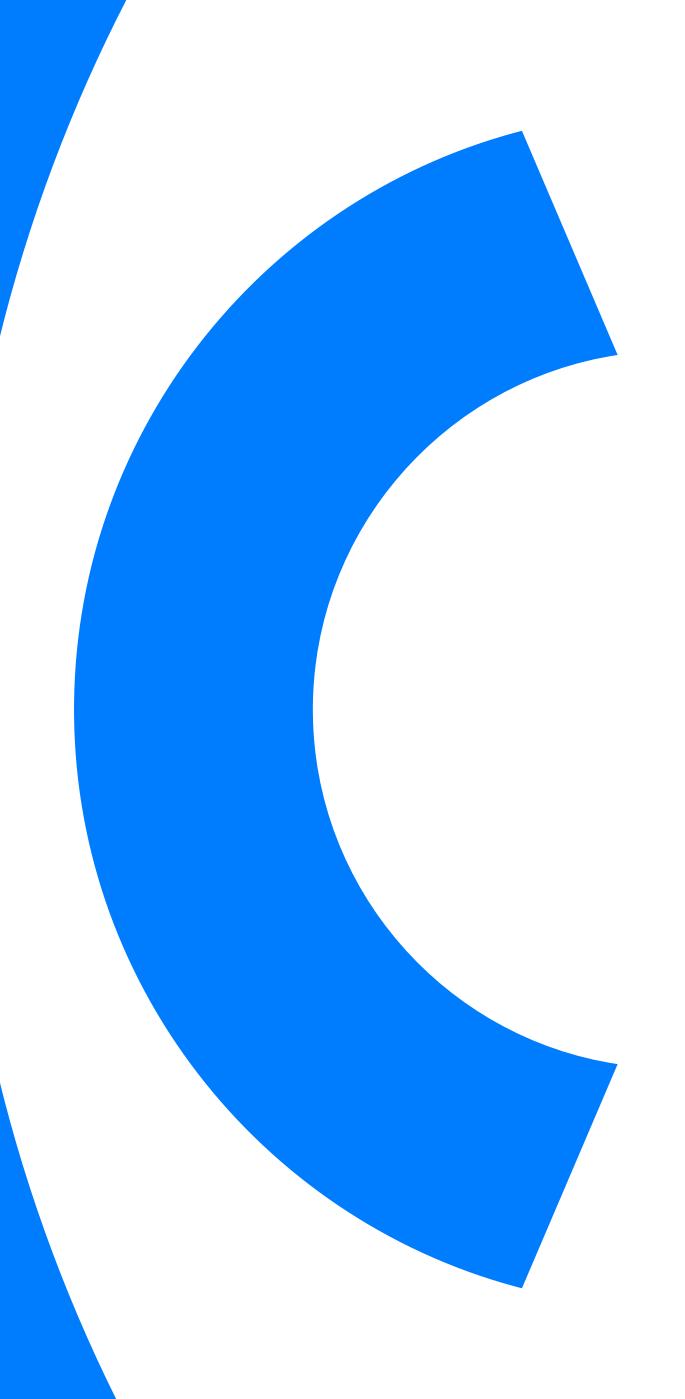
- Task Force "Addressing Issues of Corruption in International Arbitration"
- International Dispute Resolution in a World Pervaded by Artificial Intelligence
- Nyhet: Working Group on Expedited Procedure Provisions



#### 31st VIS Moot

- Världens största rättegångsspel inom skiljedom
- 31:a upplagan äger rum den 21–28 mars 2024 i Wien under ICC:s Skiljedomsregler
- Anmälan nu öppen för skiljedomare som vill bidra med sin tid och expertis till tävlingen

För mer information »



# Att ha koll på

• Eventuell delegationsresa till ICC:s Skiljedomstol hösten 2024.

Datum TBC

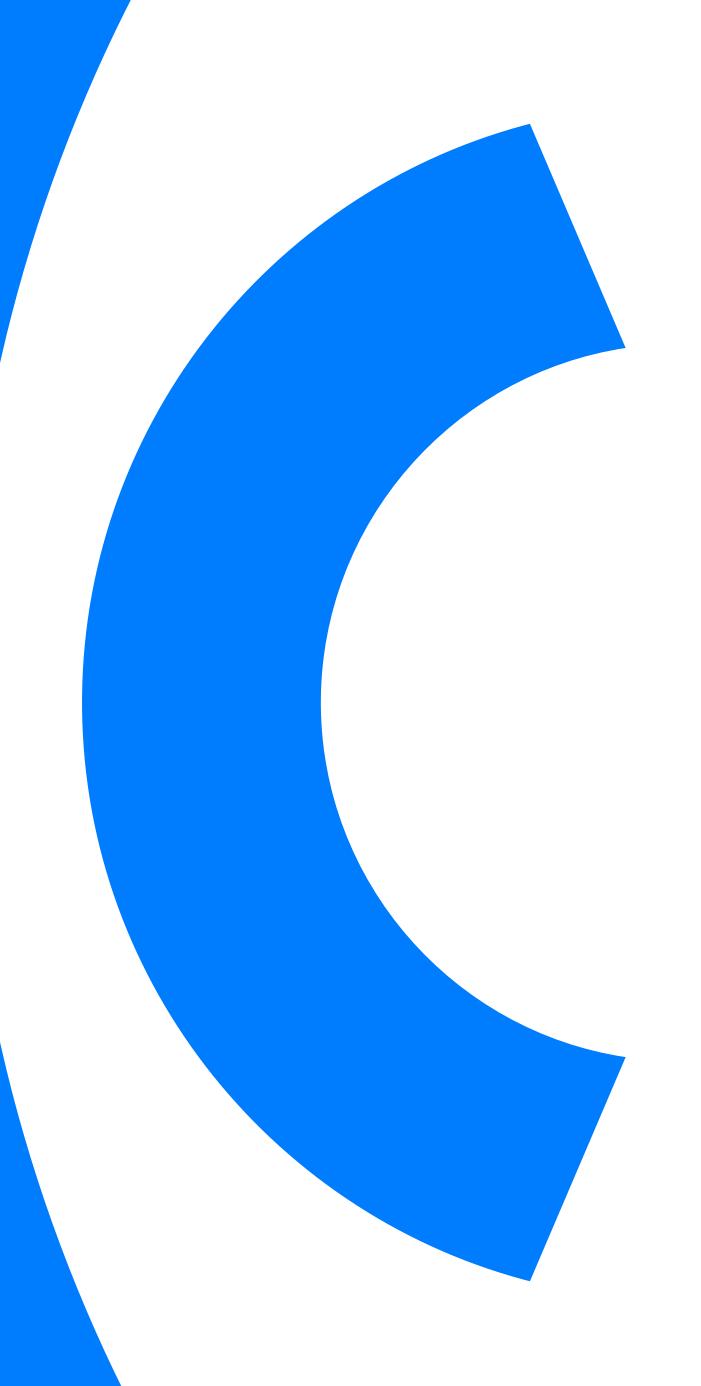
 ICC Case Connect: Möjlighet att ladda upp CV:n på den digitala plattformen

Maila: <a href="mailto:caseconnect@iccwbo.org">caseconnect@iccwbo.org</a>

 Mandat för ledamöter i den globala kommissionen ska förnyas våren 2024.

Nästa mandatperiod 1 juli 2024 – 30 juni 2027





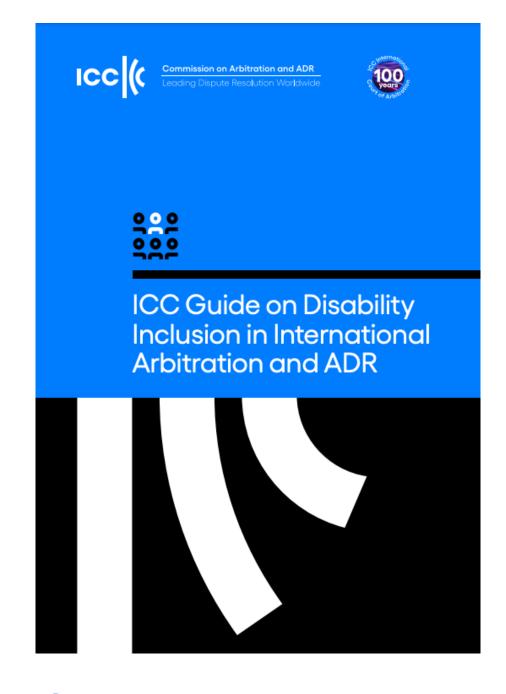
# Nya publikationer



Guide on Effective
Conflict Management



Report on Facilitating
Settlement



Guide on Disability
Inclusion

ICC Dispute Resolution Library: <u>länk »</u>



# Kommande skiljedomsevent



2023

2 december: 6th ICC India Arbitration Day, Delhi

2024

**18–19 januari:** 12th ITA-IEL-ICC Joint Conference on International Energy Arbitration, Houston

5–10 februari: 19th ICC Mediation Competition, Paris

26-27 februari: 12th ICC MENA Conference on International Arbitration, Dubai

18-20 mars: 8th ICC European Conference on International Arbitration, Paris

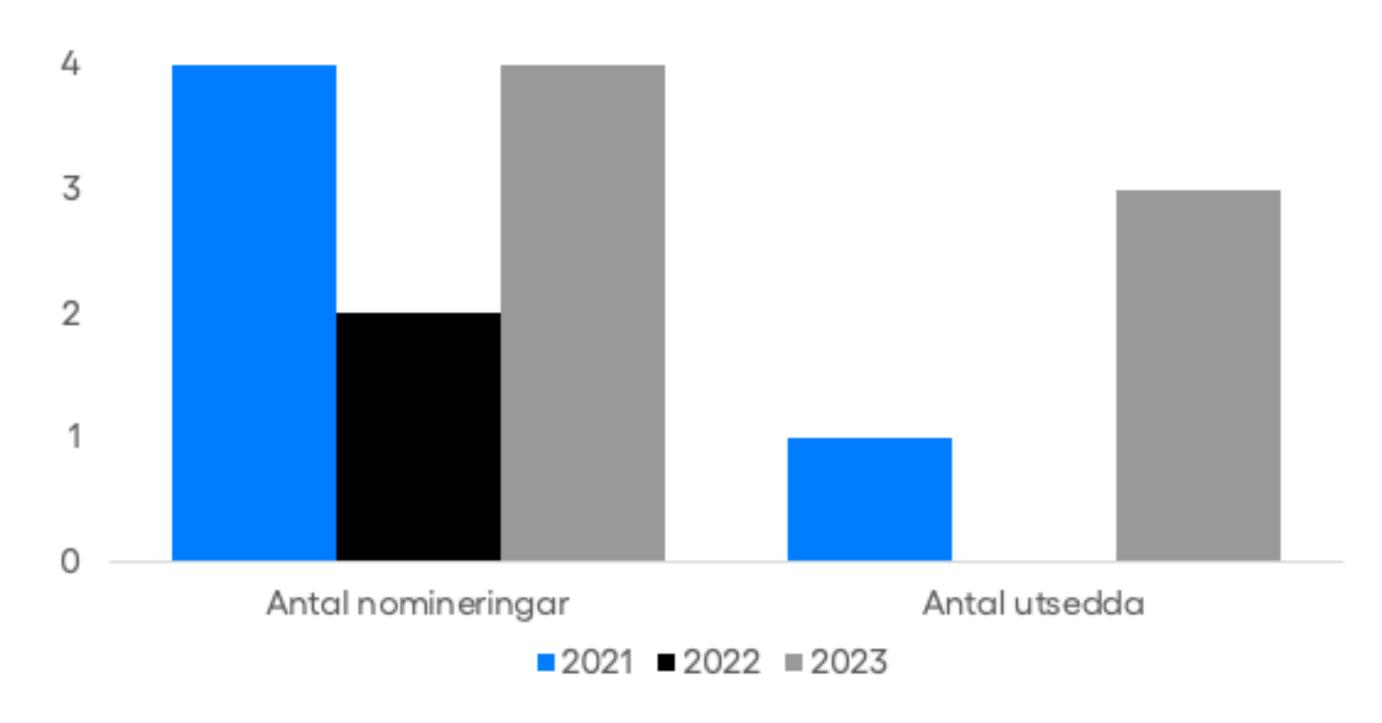
23 april: 2nd ICC Tokyo Arbitration Day, Tokyo

För en fullständig lista på event, se ICC Knowledge 2 Go »





# Nomineringar till ICC:s Skiljedomstol



#### ICC Sveriges nomineringskommitté består av:

Ordf. Maria Nisell, Advokat Maria Nisell AB Linda Landén, Sandart & Partner Advokatbyrå KB Stefan Brocker, Mannheimer Swartling Advokatbyrå AB





# Vägledning kring sanktioner från *Union* Internationale des Avocats

Lars Perhard, CERTA Lars Perhard Advokatbyrå AB





# Förebyggande och effektiv konflikthantering

Mike Mcilwrath, ICC Governing Body for Dispute Resolution Services







**MDisputes** 

Michael Mcilwrath

29 November 2023



# M~D

# A few words about ICC



# 

Institutional representative of 45 million companies since 1923

Promotes int'l trade, responsible business conduct, global regulation and dispute resolution services

We ensure that the voice of business is heard

## Five strategic pillars

"What we want to be famous for"



1

Enabling global trade



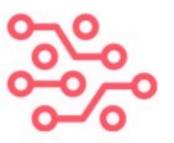
2

Promoting access to justice, integrity and respect for the rule of law



3

Accelerating sustainability and climate action



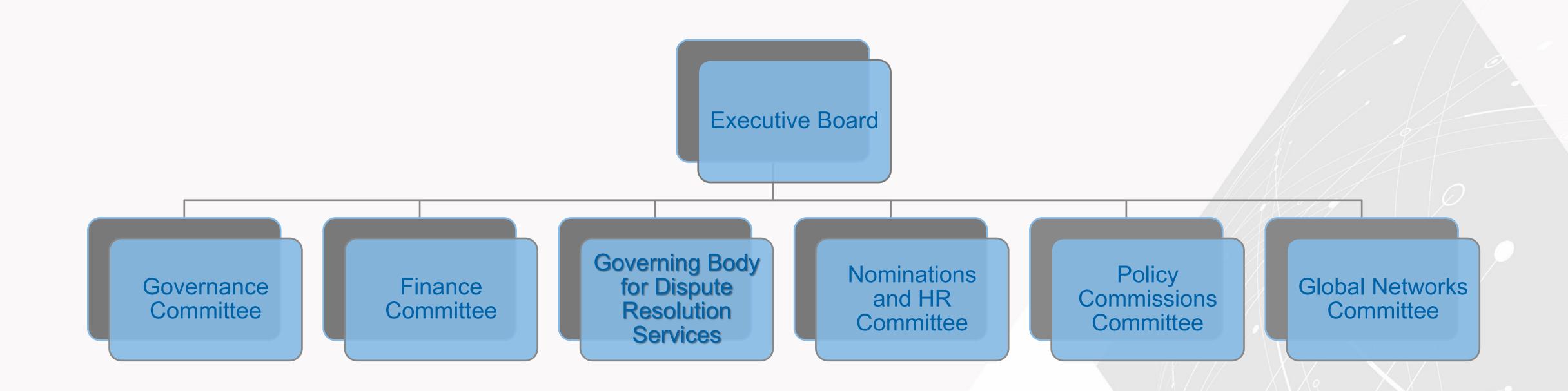
4

Shaping an open, trusted and interoperable digital economy



Strengthening multilateral cooperation

# ICC GOVERNANCE



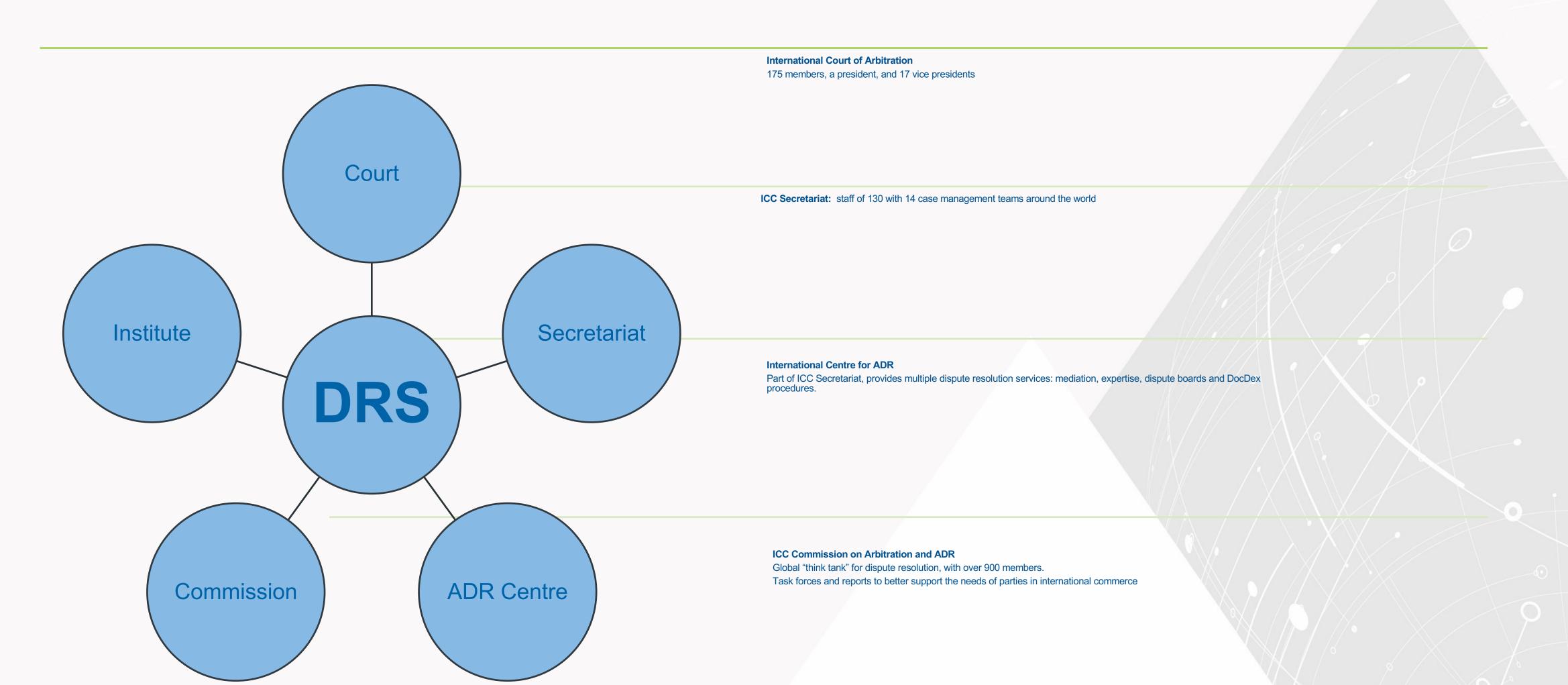
Exec Board subcommittees

Terms of reference by which Exec board delegates authority

Governing Body for Dispute Resolution Services – a committee of the Executive Board.



# ICC DISPUTE RESOLUTION SERVICES (DRS)





#### The Commission's First Years



Owen Young
General Counsel,
General Electric

First Chair of ICC Commission on Arbitration

Post World War I – international infrastructure lacking

1919: "Merchants of Peace" found the ICC

1920: ICC Constitution

1920: ICC Commission on Arbitration

1922: ICC Rules of Arbitration

1923: ICC Court of Arbitration

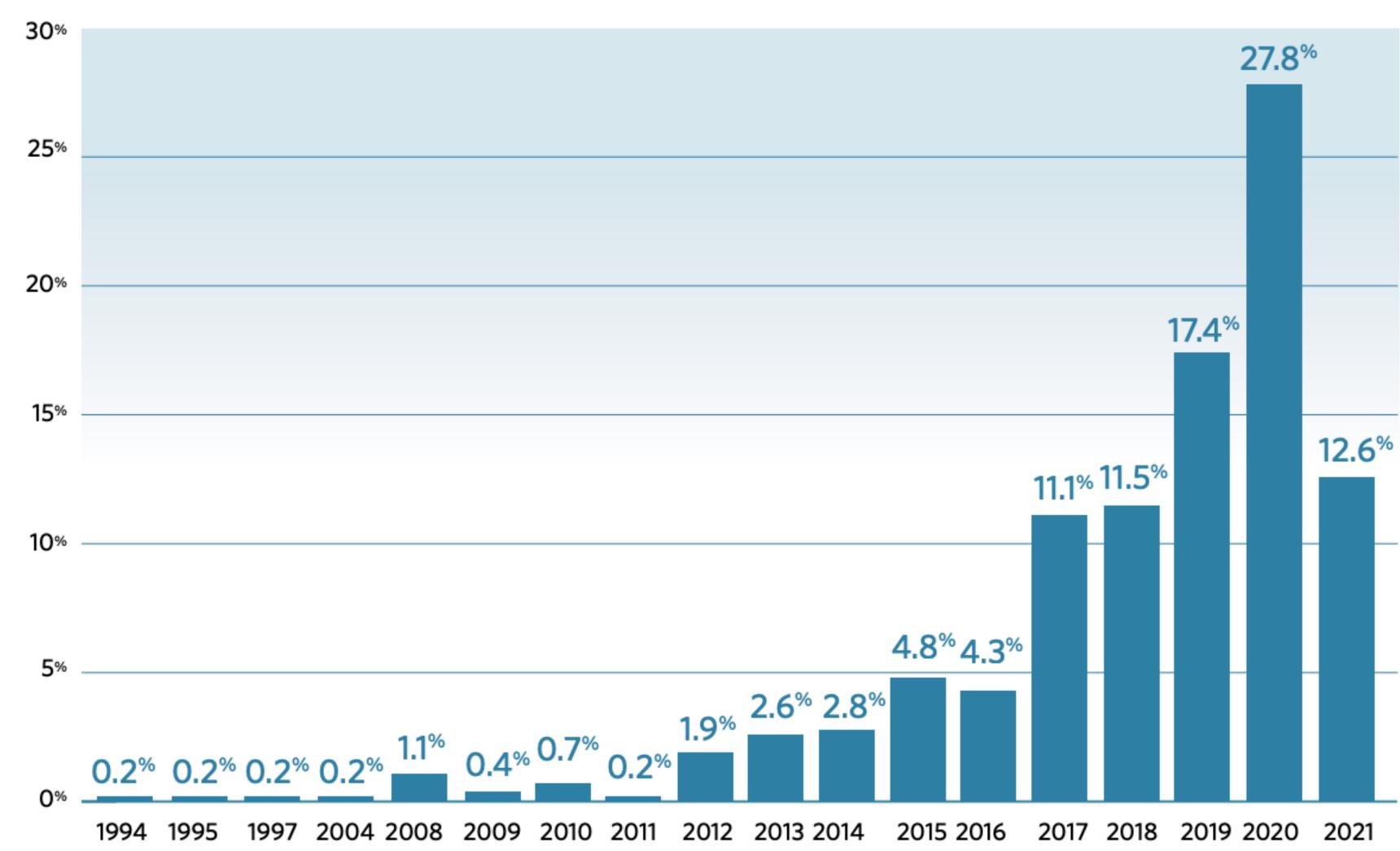
## M~D

# A few words about planting trees



# SIAC: from contract signature to RfA







# ICC arbitrations

## From contract signature to RfA



# A few words about the important roles played by National Committees



# ICC NATIONAL COMMITTES

**DRS Mission**: provide access to justice and rule of law to everyone, every day, everywhere

DRS Vision: be the preferred, one-stop shop for dispute prevention and resolution, meeting the needs of global business

#### Guidance coming soon to help align NCs with ICC DRS objectives

- Widen the community: include in-house counsel, decisionmakers, and state lawyers
  - ICC at the negotiating table
- Communicate ICC expertise
   Lawyers/influencers such as M&A, JVs/shareholders
- Help SME's with lower value disputes

  Promote Expedited Rules, ADR, more tools to come
- Expand access to justice and rule of law

  Build court capabilities on arbitrability of disputes
- Drive awareness of ICC brand and value

  Distinct from courts and other institutions
- Promote use of ICC Dispute Resolution Library

# ICC NATIONAL COMMITTES

DRS Mission: provide access to justice and rule of law to everyone, every day, everywhere

DRS Vision: be the preferred, one-stop shop for dispute prevention and resolution, meeting the needs of global business

#### NC's can help with particular industries. Examples:

- Energy transition / renewables
- LNG & energy distribution
- Construction
- Technology
- Transport
- Agribusiness

# A few words on the Task Force on Arbitration & ADR



#### ICC Commission on Arbitration and ADR



#### Mandate of the ICC Task Force on "ADR and Arbitration":

1) Study how different ICC ADR Services are being used and analyse whether they are sufficiently known and tailored to the needs of different type of businesses, including SMEs, and state entities.

2) Study how to facilitate settlement within the arbitration process.

#### Two Key Tools:

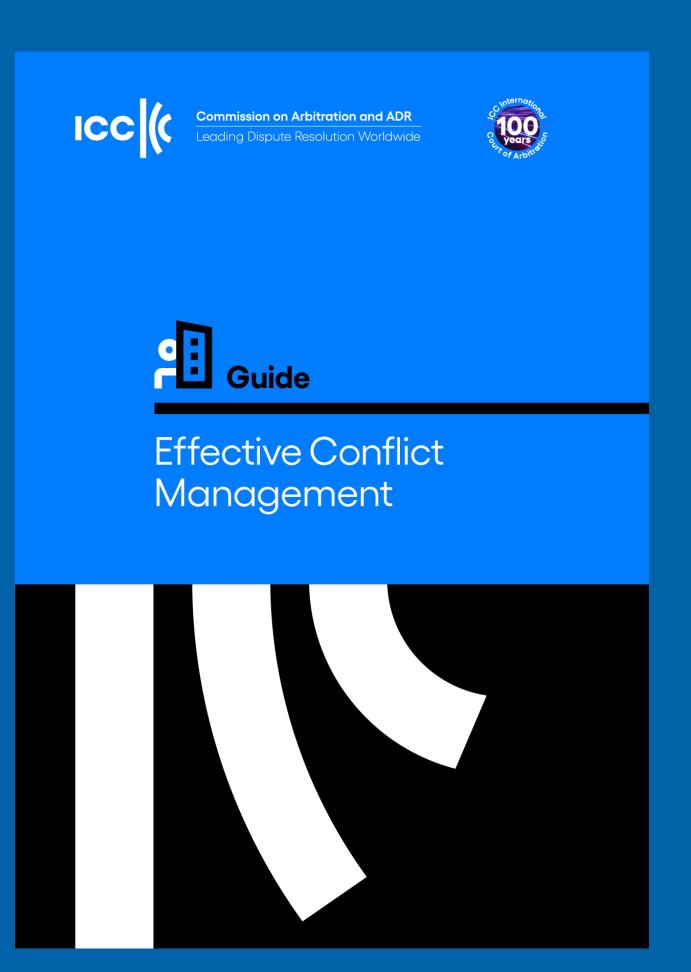
1) Guide on Effective Conflict Management

#### Includes:

- Pre-action Risk Assessment Tool
- Visual/infographic
- 2) Report on Settlement Facilitation in International Arbitration







Objective of the Guide is 'to provide an easy-to-understand document for business managers, as well as in-house and outside counsel, and state entities who may not be well-versed in all aspects of the currently available ICC ADR Services' in two parts:

- Proactive Conflict Management covers the ways in which businesses can approach conflict management without assistance.
- Assisted Conflict Management covers ICC ADR Services and how and when to effectively use them.

#### ICC Commission on Arbitration and ADR | Guide - Effective Conflict Management







Effective Conflict Management



1. Internal company policies and procedures for early dispute

2. Effective dispute resolution clauses

Internal awareness of conflict management

#### Proactive Conflict Management includes:

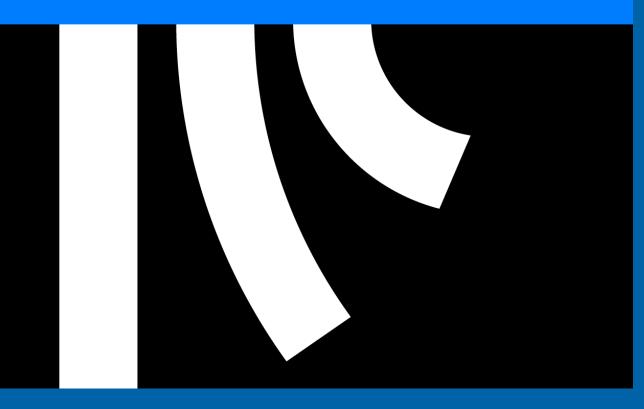
- 1. Developing and implementing internal company policies and procedures for early dispute management.
- 2. Drafting and deploying effective dispute resolution clauses.
- 3. Increasing internal awareness of conflict management.







Effective Conflict Management



#### **Assisted** Conflict Management:

- Conflict management with assistance
- How and when to effectively use ICC ADR Services: bleu boxes!
- > **Before** commencement of arbitration:
  - Mediation
  - 'Non-binding Evaluation'
  - 'Binding Expert Determination'
  - Dispute Boards for dispute prevention and resolution
- > ADR Services used to facilitate settlement during arbitration:
- Sealed Offers: Insufficiently known to users but in demand for settlement purposes.
- Party Engagement: Before an arbitration starts and during the arbitration proceedings.







Facilitating Settlement in International Arbitration



#### - Are arbitrators taking steps to facilitate settlement?

"The debate has now moved from whether arbitrators (and arbitral institutions) should take steps to facilitate settlement, to how that should be done."

#### - Why is arbitrator assistance needed?

"In-house counsel are therefore looking for assistance so that they can get to mediation more effectively, without the fear of looking weak by proposing it to the other side."







Facilitating Settlement in International Arbitration



#### How should arbitrators help?

- I. Preliminary views on the merits by arbitrators
- II. Chairing Settlement Conferences
- III. Access to Mediation
- IV. Case management techniques helping to facilitate settlement

- > The Report does NOT recommend either of them.
- > The Report creates awareness.
- > Report flags the importance of necessary proper training.

#### I. Preliminary views on the merits by arbitrators:

- Generally, after the taking of evidence
- By joined request of the parties or
- Suggested by the Arbitral Tribunal but not against the will of one party
- Give an offline snapchat view
- Requires good knowledge of the dispute from the tribunal

#### II. Chairing Settlement Conferences:

- With decision makers of the parties
- Preliminary view turn into a settlement
- NOT a mediation; it is part of the arbitration and due process rules apply no caucuses



#### ICC Commission on Arbitration and ADR | Report on Facilitating Settlement in International Arbitration

#### III. Access to mediation:

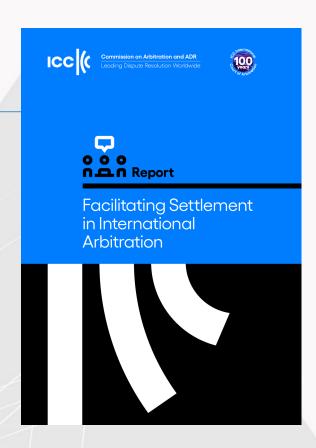
Using mediation in the course of an arbitration, with a third-party mediator, not with an arbitrator acting as mediator.

Mediation is broadly recognised as a very useful settlement tool, so helping parties to use mediation effectively during an arbitration proceeding is a topic covered in some detail in the report.

- When is the best moment?
- In parallel?
- Should the arbitration be paused?
- Use of Mediation Windows/Protocols?



#### ICC Commission on Arbitration and ADR | Report on Facilitating Settlement in International Arbitration



#### IV. Case management techniques helping to facilitate settlement – 'indirect effect'

- Raise settlements at the first Case Management Conference (CMC)
- Mid-stream conference
- Bifurcation

# Finally, a few words from an old in-house counsel about expectations in disputes



"IF YOU ARE MARKETING A PRODUCT AS AN ARBITRATOR, IT IS ESSENTIAL THAT YOU HAVE DIFFERENT PRODUCTS. PRODUCT 1: YOU BUY INTO IT, AND I GIVE YOU AN ANSWER IN 14 DAYS. BUT I HAVE TWO MORE PRODUCTS, WHICH ARE CALLED SIX MONTHS AND 'LONG AS A PIECE OF STRING'. YOU JUST SIGN UP FOR A, B OR C." -- AUSTRALIAN ARBITRATOR/MEDIATOR JOHN WADE



# SPEED OF ARBITRATION: IDEALLY

Informal survey litigators & managers

- 1. For a dispute of medium complexity, value of \$5-10 million, how long should an arbitration take?
- 2. What if it were an expedited or fast track arbitration?



# VIEWS OF LAWYERS (AUDIO)

#### Two arbitration specialists, English and Italian:

**Arbitration?** "Should take 9 months to one year."

Expedited? "6 months"

#### **German arbitration lawyer:**

Arbitration? "International arbitration, if conducted very efficiently, could be within 6 months after the Terms of Reference have been signed, but average is probably 2 years."

Expedited? "A fast track should last max. 3 months."

#### Senior in-house counsel (Siemens, Germany):

**Arbitration**: "Medium arbitration should not take more than 2 years."

**Expedited**: "And 6 to 9 months for expedited arbitration."



INTERNATIONAL | INTERNATIONAL COURT OF CENTRE ADDR

# LEAD GE LITIGATORS (AUDIO)

Litigation lawyer	Arbitration	Expedited
GE Corp	12 months	6 months
GE Capital	12 months	6 months
GE Corp	12 months	6 months
GE Corp	18 months	6 months
GE Consumer	18 months	6 months
GE Capital	12 months	3 months
NBC/Universal	12 months	3 months
GE Healthcare	12 months	6 months
GE Corp	18 months	3 months
GE Aviation	18 months	6 months
GE Corp	12 months	4 months
GE Capital	12 months	6 months
GE Corp	9 months	3 months
GE Corp	18 months	6 months
GE Capital	12 months	6 months
GE Energy	18 months ("without discovery")	3 months



## SENIOR COMMERCIAL MANAGERS

#### Three business leaders in Doha, Qatar:

- 1. Arbitration? "It takes a long time... maybe, could take a couple of months "Expedited? "1 week or 2 weeks."
- 2. Arbitration? "2-3 months for medium complexity arbitration, and 1 month for *expedited* arbitration."
- **3. Arbitration?** "For medium arbitration... a couple of months and 3 to 4 weeks for *expedited* arbitration."

#### US executive, global business

Arbitration? "Should take only 30 days."

Expedited? "15 days."



## BUSINESS SCHOOL PROFESSOR

#### Michael Wheeler, Associate Dean, Harvard Business School:

**Arbitration?** "If you are talking about a construction example, I think you want to do it in days."

"In 'days'? From the filing of an arbitration request to an outcome?"

"Yes, in *days*. If you're trying to put up a skyscraper, I don't think people want to be sitting around with the whole project stopped because you don't know where you stand."



## **BUSINESS LEADER**

General Manager, global business (>\$200M/year):

Question: "What would you say if I told you your arbitration of a dispute involving \$3-5MM might take three years to complete?"

Response: "I'd say that's absolutely crazy. Why would you file for arbitration at that point? It makes no sense to me."



INTERNATIONAL INTERNATIONAL COURT OF CENTRE ARBITRATION® FOR ADR

# M~D

Thank you!





# Förebyggande och effektiv konflikthantering: företagsperspektiv

Lena Hellman, Ericsson David Gräslund, Skanska Sverige AB





# Diskussion kring förebyggande och effektiv konflikthantering





## Nästa möte och avslut

